



ThyssenKrupp System Engineering, Inc.

ThyssenKrupp System Engineering, Inc. Supplier Assessment Report

Company Details:			
Company Name:			
Address:			
City:			
State:		Main Phone:	
ZIP:		Main Fax:	
Current Supplier Rating:	Preferred <input type="checkbox"/>	Not accepted/De-sourced supplier <input type="checkbox"/>	
	Accepted <input type="checkbox"/>	Not tracked <input type="checkbox"/>	
	Restricted <input type="checkbox"/>	New Supplier <input type="checkbox"/>	
Reason for Audit:	Initial <input type="checkbox"/>	On-Going <input type="checkbox"/>	Follow-Up <input type="checkbox"/>
Number of Employees:			
Office Space:			
Shop Space:			
Union Affiliation:			
Auditor(s):			
Date of Audit:			
Supplier Representative:			
Representative's Title :			

Quality System:

ISO-9001:2000 ISO-14001 ISO/TS 16949

NONE OTHER : _____

If No certification is currently in place, is supplier working towards becoming certified? Yes No

Expected date of certification: _____



Supplier Assessment Questions

	YES	NO	N/A
QUALITY MANAGEMENT:			
1. Does the supplier understand all applicable procedures of ThyssenKrupp System Engineering, Inc. (Supplier Quality Manual, Shipment/Delivery requirements, Terms and Conditions, etc.)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2. Is there a continuous quality improvement plan in place?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3. Are all quality problems (customer concerns, formal corrective action requests, etc.) reviewed to decide where the system failed?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
4. Does the supplier have a documented (in writing) quality system in place?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Comments:			
INSPECTION, SPECIFICATION, CALIBRATION:			
5. Are procedures in place to insure that only the latest drawings and specifications are being used?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
6. Are there procedures in place to confirm the design of the product is meeting all requirements?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
7. Is there a plan for preventative maintenance on all tools and equipment?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
8. Are all tests and inspections, required for each part, performed as stated?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
9. Is all gauging within the supplier's facility given individual identification numbers so that they can be removed from the shop floor and periodically calibrated?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
10. Are gauges and test/inspection equipment checked by a documented system with a defined Precision-to-Tolerance ratio and are records adequately maintained?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Comments:			
MATERIAL:			
11. Is there currently a sufficient amount of employees to support current and future business endeavors?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
12. Does a procedure exist to provide feedback from production to the appropriate entity?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
13. Do backup plans exist to continue production in the event of machine downtime?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
14. Are all material and test specifications readily available?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
15. Is full responsibility for product warranty accepted if product failures should occur?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>



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	YES	NO	N/A
16. Does the supplier have an effective inventory system in place that will consistently meet the schedule and inventory requirements?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
17. Does the supplier have adequate controls in place to assure that raw materials meet their specifications?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
18. Does the supplier have procedures that define the labeling of parts in the finished product area?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Comments:			
NON-CONFORMANCES / MATERIAL CHANGES:			
19. Are corrective/preventative actions monitored for effectiveness to prevent similar non-conformances from reoccurring? <small>(Note: Corrective Action is a documented action process – Preventative is not necessarily documented)</small>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
20. Are there procedures defining the process of handling non-conforming material and do the procedures explain the re-inspection that must take place after sorting or rework is complete?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
21. Are records available showing that reworked parts/material has been re-inspected?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
22. Is there a controlled area for rejected material that is well identified and out of the regular production flow?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Comments:			
GENERAL MANAGEMENT / FINANCIAL:			
23. Is a system in place to insure that the supplier covers all P.O. requirements?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
24. Does the supplier respond to our needs, in a timely manner, without requiring excessive follow-up?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
25. Are financial audits performed annually?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
26. Are financial audits performed by outside companies?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
27. Are there cost reduction goals in place for current and future years?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
28. Have the most significant costs been identified and procedures been put in place to measure, control and reduce them?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
29. Is the supplier aware of how ThyssenKrupp System Engineering, Inc. evaluates our supplier's performance?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30. Does management provide a system that obtains and responds to employee input, comments and suggestions?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
31. Is there a documented environmental policy in place?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Comments:			



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YES	NO	N/A
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DELIVERY:			
32. Is the supplier aware of all delivery goals?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
33. Does the supplier positively respond to requested schedule changes?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
34. Does the supplier have an effective planning system to insure the timely delivery of raw material or products from its suppliers (2nd tier)?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
35. Are the supplier's packing slips, invoices and necessary paperwork properly and accurately completed?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
36. Are P.O. acknowledgments returned within the time frame, accurate and complete?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
37. Does the supplier promptly notify their customer when delivery problems or a schedule slippage occurs?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
38. Is there a plan for reducing lead-times?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
39. Does the supplier regularly measure ship date accuracy against the customers requested delivery date?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
40. Are packaging controls in place and adequate enough to safeguard parts from delivery to final destination?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Comments:			
PRICING:			
41. Are there processes for controlling and leveraging raw material costs?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
42. Does the supplier submit improvement suggestions or alternative proposals on quotations to help reduce costs?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
43. Are productivity improvement suggestions submitted to reduce cost?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
44. Are quotation prices fixed for an acceptable period of time?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
45. Are P.O.s accepted for the quantity demanded without a minimum-buy requirement?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
46. Are schedule adjustments allowed without a premium price increase?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Comments:			



YES	NO	N/A
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SUPPLIER MANAGEMENT:			
47. Is there an assessment and selection process for suppliers?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
48. Is there an approved supplier list?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
49. Are the quality and delivery records of your approved suppliers established and maintained?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
50. Is there a corrective/preventative action process with your suppliers to determine the root cause of non-conformances? (Note: Corrective Action is a documented action process – Preventative is not necessarily documented)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Comments:			

Results:

Total	Definition
90-100	Approved
80-89	Accepted
70-79	Restricted
<70	Not Accepted

Total Questions Answered Yes

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Total Questions Answered N/A

(50 -)

=

X 100

Supplier Assessment Score

Approved

Accepted

Restricted

Not Accepted



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Total	Definition
90-100	Approved
80-89	Accepted
70-79	Restricted
<70	Not Accepted

Suppliers Assessment Score:

Score of 79 or less, corrective action plan must be attached.

Assessor Closing Comments/Recommendations:

Assessor:	Signature:	Date:

Supplier Representative:	Signature:	Date: